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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47731/DMC/S965
Inventor(s) : Bardia PEZESHKI
Title : TUNABLE OPTICAL DEVICE USING A SCANNING MEMS MIRROR
Express Mail Label No. : EL 818385626 US

ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application
Washington, D.C. 20231

Date: January 16, 2002

1. FEE TRANSMITTAL FORM (*Submit an original, and a duplicate for fee processing.*)

2. IF A CONTINUING APPLICATION

This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application Nos. 60/262,445, filed January 16, 2001; and 60/263,591, filed January 22, 2001.

3. APPLICATION COMPRISED OF

Specification

20 Specification, claims and Abstract (total pages)

Drawings

7 Sheets of formal drawing(s) (FIGS. 1 to 7)

Declaration and Power of Attorney

Newly executed

Unexecuted declaration

Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. Microfiche Computer Program (*Appendix*)

5. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)

Computer Readable Copy

Paper Copy (identical to computer copy)

Statement verifying identity of above copies

6. APPLICANT(S) STATUS UNDER 37 CFR § 1.27

Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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7. ALSO ENCLOSED ARE

- Preliminary Amendment
- Includes "Cross-Reference to Related Applications"
- A Petition for Extension of Time for the parent application and the required fee are enclosed
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- This application is owned by pursuant to an Assignment recorded at Reel , Frame
- Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Daniel M. Cavanagh
Reg. No. 41,661
626/795-9900

DMC/rmw

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 16, 2002

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Title : TUNABLE OPTICAL DEVICE USING A SCANNING
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Duplicate

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	Fee
TOTAL CLAIMS	30 - 20	10	x \$9.00	10 x \$18.00	\$180.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
				TOTAL FILING FEE	\$1,004.00
List Independent Claims: 1, 19, 29, 30					

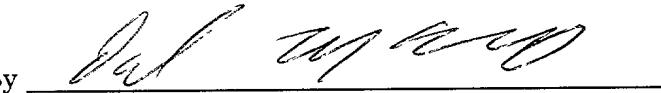
METHOD OF PAYMENT

Payment Enclosed: Check No. 003290 for \$1,004.00.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
Daniel M. Cavanagh
Reg. No. 41,661
626/795-9900